OMPLETION UNDER 37 CFR 52 In re Application of: DesMarteau Attention: Application Branch Serial No.: 09/832,174 July 24, 2001 Filed: April 10, 2001 Attorney Docket No.: _ Confirmation No.: 8803 Art Unit: Not known Title: Zwitterionic Iodonium Compounds Our Account No.: 04-1403 Commissioner for Patents U.S. Patent and Trademark Office Washington, DC 20231 Sir: The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85: [x] Rule 53 signed Declaration enclosed. [] This is a Rule 47 filing per attached Petition. [] Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date. Specification originally filed in non-English language; hence verified translation attached of: Abstract [] ___ pages of Specification (only spec. & claims) [] Drawing Figs. [] sheet(s) of formal drawings enclosed: size: [] A4 [] 14" [] 8½ by 11 Attached is an assignment to Clemson University [x] [] Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s). , respectively. , filed in Certified copy (copies) [] attached; [] already filed on in U.S. Application, Serial No. , filed [x] Attached: Verified Statement(s) establishing "small entity" status under Rules 9 & 27. Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85. Attached: Preliminary Amendment: THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED PER MPEP § 506 AND § 607 If not earlier paid, add Basic Filing Fee ___1 x \$18.00 Total Effective Claims 21 - 20 = Independent Claims 3 - 3 = 0_x \$80.00 If any proper multiple dependent claim (ignore improper) is present, add \$270.00 Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$390; 3 months \$890; 4 months \$1390). If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130) 65.00 Subtotal If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00)..... If "assignment" box is X'd, add recording fee (\$40.00). 40.00 If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h)..... TOTAL FEE ENCLOSED The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed nereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading nereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the ssue fee in this case. **ADDRESS: DORITY & MANNING** Post Office Box 1449 ATTORNEYS AT LAW, P.A. Greenville, South Carolina 29602 Phone: 864-271-1592 Facsimile: 864-233-7342 Signature: hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on July 24, 01 Terry Ballew

Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

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PATENT

ATTORNEY DOCKET NO.: CXU-328

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DesMarteau et al.

Serial No.: 09/832,174

Filed: April 10, 2001

Title: Zwitterionic Iodonium Compounds

Caroup Art Unit: Not known

Date: July 24, 2001

Commissioner of Patent and Trademarks Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

The above-referenced application Serial Number 09/832,174 was filed by Express Mail April 10, 2001.

A second application identical to that described above was filed the next day, on April 11, 2001 Serial Number 09/833,217. This latter application was inadvertently filed, and Applicant has no desire to pursue the latter application, and requests that it be abandoned.

Applicant will respond to the Notice to File Missing Parts in Serial Number 09/832,174, which will be filed concurrently with this transmittal letter.

Furthermore, Applicant requests a refund of the fee paid or deducted from its account pursuant to the inadvertent second filing of the application, and hereby requests that the filing

fee be refunded to Account No. 04-1403 to the extent any charges have been applied at this time.

Respectfully requested,

DORITY & MANNING P.A.

John E. Wick, Jr.

Registration No. 33,808

DORITY & MANNING, P.A.

P.O. Box 1449

Greenville, SC 29602-1449

Phone: (864) 271-1592

Facsimile: (864) 233-7342

Date 24 2001





United States Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
	L,		

09/832,174

04/10/2001

Darryl D. Desmarteau

CXU-328

CONFIRMATION NO. 8803

FORMALITIES LETTER

OC000000006144396

John E. Vick, Jr. Dority & Manning, Attorneys at Law, P.A. P.O. Box 1449 Greenville, SC 29602

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$9.
 - \$9 for 1 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 429.

888 888

A copy of this notice MUST be returned with the reply.

JUN 0 8 2001

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE DORITY AND MANNING



JUL 2 7 2001

PTO/SB/17 (11-00)

PADEMA Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE cond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$

Signature

•	400	\sim
\$)	469.	I 11 I
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Complete if Known						
Application Number	09/832,174					
Filing Date	April 10, 2001					
First Named Inventor	DesMarteau et al.					
Examiner Name	Not known					
Group Art Unit	Not known					
Attorney Docket No.	CXU-328					

METHOD OF PAYMENT		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge indicated fees and credit any overrowments to:		3. ADDITIONAL FEES						
Deposit		Large Small Entity Entity						
Account Number 04-1403	Fee	Fee	Fee	Fee	•	Description	1	Fee Paid
Deposit Account Name	105	130	Code 205	e (\$) 65	Surcharge - late fi	iling fee or o	ath	\$65.00
Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		50	227	25	Surcharge - late p cover sheet	rovisional fili	ng fee or	
Applicant claims small entity status.	139	130	139	130	Non-English speci	fication		
See 37 CFR 1.27		2,520	147	2,520	For filing a reques	st for ex parte	reexamination	
2. Payment Enclosed: Check Credit card Money Other		920*	112	920*	Requesting public Examiner action	ation of SIR	prior to	
FEE CALCULATION		1,840*	113	1,840	 Requesting public Examiner action 	ation of SIR	after	
1. BASIC FILING FEE	115	110	215	55	Extension for rep	ly within first	month	
Large Entity Small Entity	116	390	216	195	Extension for repl	sion for reply within second month		
Fee Fee Fee Fee Description	117	890	217	445	Extension for repl	y within third	month	ļ
101 710 201 355 Utility filing fee \$355.00	118	1,390	218	695	Extension for repl	y within fourt	h month	
106 320 206 160 Design filing fee	128	1,890	228	945	Extension for repl	y within fifth	month	
107 490 207 245 Plant filing fee	119	310	219	155	Notice of Appeal			
108 710 208 355 Reissue filing fee	120	310	220	155	Filing a brief in su	pport of an a	ppea!	
114 150 214 75 Provisional filing fee	121	270	221	135	Request for oral h	earing		
	138	1,510	138 1	1,510	Petition to institute	a public use	proceeding	
SUBTOTAL (1) (\$) 355.00	140	110	240	55	Petition to revive -	unavoidable	1	
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive -	unintentiona	ıl.	
Extra Claims below Fee Paid		1,240	242	620	Utility issue fee (o	r reissue)		
Total Claims 21 -20** = 1 X \$9.00 = \$9.00	143	440	243	220	Design issue fee			
Independent 3 - 3** = 0 X \$0.00 = \$0.00	144	600	244	300	Plant issue fee			
Multiple Dependent = \$0.00	122	130	122	130	Petitions to the Co	ommissioner		.
	123	50	123	50	Processing fee un	der 37 CFR	1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126	180	126	180	Submission of Info	ormation Disc	closure Stmt	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each p property (times no			\$40.00
102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submissio		ejection	
104 270 204 135 Multiple dependent claim, if not paid		740	240	255	(37 CFR § 1.129(a ha	
109 80 209 40 ** Reissue independent claims over original patent	149	710	249	355	For each addition examined (37 CF			
110 18 210 9 ** Reissue claims in excess of 20	179	710	279	355	Request for Conti	nued Examin	ation (RCE)	
and over original patent	169	169 900 169 900			Request for expedited examination of a design application		i	
SUBTOTAL (2) (\$) 9.00		fee (si	pecify))	A a design appli			
		Other fee (specify)					105.00	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 105.0					105.00			
SUBMITTED BY Complete (if applicable)								
Name (PrinuType) John E. Vick, Jr.		Registr			3,808	Telephone	864-271-	1592
JOINIL. VIGN. DI.		Attome	dAgent	2 3	3,000		304-211-	1032

07/24/2001 WARNING/information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.